

**DEPARTMENT OF INSURANCE**

ADMINISTRATION &amp; LICENSING SERVICES BRANCH

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(916) 322-1941 (FAX)

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**SCHEDULE OF FEES  
FOR SECURITIES TRANSACTIONS  
(Effective 07/01/2010)**

The California Insurance Code requires insurers to pay various fees in advance for securities transactions. To avoid any delay in processing, please submit your request with the appropriate fee payment. The following is a summary of the current required fees for each type of transaction:

California Insurance code Section	Type of Transaction	Processing Fee Effective 07/01/10
940.1 & 11691(d)	Initial securities deposit	\$103.00
940.1 & 11691(d)	Each additional deposit	\$54.00
940.1 & 11691(d)	Each withdrawal	\$54.00
940.1 & 11691(d)	Each substitution/exchange (one deposit and one withdrawal)	\$54.00 deposit \$54.00 withdrawal
12973	Each list of Verification of Securities on deposit. Please specify "as of date" on your request (i.e., as of 12/31/200X).	\$14.00
949 & 1590	Each Certificate of Deposit Please specify which "Insurance code Section" and "as of date" you're requesting (i.e., Ins Code Section 940/955 as of 12/31/200X). NOTE: Companies with securities deposits under different Insurance Codes Section will require separate Certificates of Deposit for each code.	\$54.00

Please remit the appropriate fee for each transaction to the following address:

California Department of Insurance  
Securities Transaction Unit  
300 Capitol Mall, Suite 1400  
Sacramento, CA 95814

For securities forms and information, please refer to the California Department of Insurance's website at [www.insurance.ca.gov](http://www.insurance.ca.gov).